

Received
Jun 27, 2003

Sheet 1 of 2

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
CF-007 Re

APPLICATION
NO. 09/859,661

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTS

APPLICANTS
Fraser et al.

CONFIRMATION
NO. 3933

FILING DATE
May 17, 2001

GROUP
2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
			GROUP A			
<i>Ch</i>	3,656,148	04/11/72	Belcher et al.	345	2.1	
<i>Ch</i>	5,689,652	11/18/97	Lupien et al.	395	237	
<i>Ch</i>	5,787,402	07/28/98	Potter et al.	705	37	
<i>Ch</i>	5,873,071	02/16/99	Ferstenberg et al.	705	37	
<i>Ch</i>	5,905,975	05/18/99	Ausubel	705	37	
<i>Ch</i>	5,926,801	07/20/99	Matsubara et al.	705	37	
<i>Ch</i>	5,987,419	11/16/99	Hachino et al.	705	1	
<i>Ch</i>	6,016,483	01/18/00	Rickard et al.	705	37	
<i>Ch</i>	6,029,146	02/22/00	Hawkins et al.	705	35	
<i>Ch</i>	6,230,146	05/08/01	Alaia et al.	705	37	
<i>Ch</i>	6,247,000	06/12/01	Hawkins et al.	705	37	
<i>Ch</i>	6,282,521	08/28/01	Howorka	705	37	
<i>Ch</i>	6,311,178	10/30/01	Bi et al.	707	3	
<i>Ch</i>	6,343,278	01/29/02	Jain et al.	705	37	
			GROUP B			
<i>Ch</i>	6,151,588	11/21/00	Tozzoli et al.	705	37	
<i>Ch</i>	6,405,180	06/11/02	Tilfors et al.	705	37	
<i>Ch</i>	6,505,174	01/07/03	Keiser et al.	705	37	
<i>Ch</i>	6,519,574	02/11/03	Wilton et al.	705	35	
<i>Ch</i>	US2002/0023041	02/21/02	Brett	705	37	
<i>Ch</i>	US2002/0091626	07/11/02	Johnson et al.	705	37	
<i>Ch</i>	US2003/0050888	03/13/03	Satow et al.	705	37	

EXAMINER

James W. Myhre
JAMES W. MYHRE
PRIMARY EXAMINER

DATE CONSIDERED

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661SECOND SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
2161

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
			GROUP A				
<i>g</i>	62-256164	11/07/87	Japan	G06F	15/18		X
			GROUP C				
<i>g</i>	GB 2 258 061A	01/27/93	GB	G06F	15/30		
<i>g</i>	WO 95/26005	09/28/95	PCT	G06F	17/60		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	GROUP A
<i>g</i>	Massimb, M., et al, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , Jan-Feb 1994, pp. 39-50.
	GROUP C
<i>g</i>	Charles Schwab & Co., Inc., "Charles Schwab Street Smart", <u>Charles Schwab Getting Started Manual</u> , 1994, pp. 1-29.

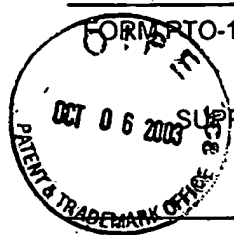
EXAMINER


JAMES W. MYHRE
PRIMARY EXAMINER

DATE CONSIDERED

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTS

ATTY. DOCKET NO.
CF-007 Re

APPLICANTS
Fraser et al.

FILING DATE
May 17, 2001

APPLICATION
NO. 09/859,661

CONFIRMATION
NO. 3933

GROUP
3622

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
			APPENDIX B			
<i>J</i>	5,243,331	09/07/93	McCausland et al.	345	172	-
			APPENDIX C			
<i>J</i>	3,249,919	05/03/66	Scantlin	340	825.26	
<i>J</i>	4,789,928	12/06/88	Fujisaki	705	37	
<i>J</i>	4,799,156	01/17/89	Shavit et al.	705	26	
<i>J</i>	5,077,665	12/31/91	Silverman et al.	705	37	
<i>J</i>	5,361,199	11/01/94	Shoquist et al.	705	26	
<i>J</i>	5,463,547	10/31/95	Markowitz et al.	715	507	
<i>J</i>	5,715,402	02/03/98	Popolo	705	37	
<i>J</i>	5,794,219	08/11/98	Brown	705	37	
<i>J</i>	5,826,244	10/20/98	Huberman	705	37	
<i>J</i>	5,832,462	11/03/98	Midorikawa et al.	705	35	
<i>J</i>	5,835,896	11/10/98	Fisher et al.	705	37	
<i>J</i>	5,842,178	11/24/98	Giovannoli	705	26	
<i>J</i>	5,890,138	03/30/99	Godin et al.	705	26	
<i>J</i>	5,924,082	07/13/99	Silverman et al.	705	37	

RECEIVED
OCT 09 2003
GROUP 3600

FOREIGN PATENT DOCUMENTS

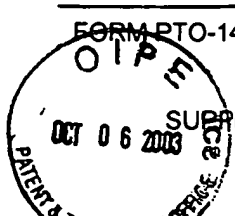
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
			APPENDIX C				
<i>J</i>	0,416,482	03/13/91	EPO	G07F	7/10		
<i>J</i>	0,512,702	11/11/92	EPO	G06F	15/21		
<i>J</i>	WO 96/34356	10/31/96	PCT	G06F	17/60		

EXAMINER

J
JAMES W. MYHRE
PRIMARY EXAMINER

DATE CONSIDERED 11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS		APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
			FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	APPENDIX B
<i>J</i>	"EJV Aims to Launch Analytics Service by Year End," <i>BondWeek</i> , vol. 11, no. 38, p. 9, September 23, 1991.
<i>J</i>	"EJV Snares Sanction for Autotrade System," <i>BondWeek</i> , vol. 11, no. 16, p. 13, April 22, 1991.
<i>J</i>	Ray, Christina I., <i>The Bond Market</i> , pp. 59-69, 1993.
<i>J</i>	Umlauf, Steven R. "Information Asymmetries and Security Market Design: An Empirical Study of the Secondary Market for U.S. Government Securities," <i>The Journal of Finance</i> , Vol. 46, No. 3, pp. 929-953, July 1991.
<i>J</i>	"U.S. Government Securities - More Transaction Information and Investor Protection Measures Are Needed," United States General Accounting Office, Report to Congressional Committees, pp. 1-10, 95-112, (September 1990).
	APPENDIX C
<i>J</i>	Adam, Nigel, "Exxon's Quiet Auction Brings Uproar to Wall Street," <i>Euromoney</i> pp. 39-45, (December 1982).
<i>J</i>	Angrist, Stanley W., "Iowa Market Takes Stock of Presidential Candidates," <i>The Wall Street Journal</i> , Money & Investing Update, August 28, 1995.
<i>J</i>	Aversa, Jeannine, "Bidding In High-Tech Airwaves Auction to be Handled by Computer, of course," <i>Buffalo News</i> , p. A-10, December 5, 1994.
<i>J</i>	Bailey, Dee Von, et al., "Identifying Buyer Market Areas and the Impact of Buyer Concentration in Feeder Cattle Markets Using Mapping and Spatial Statistics," <i>American Journal of Agricultural Economics</i> , Vol. 77, No. 2, pp. 309-318, May 1995.
<i>J</i>	Bailey, DeeVon, et al., "A Comparison of Video Cattle Auction and Regional Market Prices," <i>American Agricultural Economics Association</i> , pp.465-475, (May 1991).
<i>J</i>	Banatre, Jean-Pierre, et al., "The Design and Building of Enchere, A Distributed Electronic Marketing System," <i>Communications of the ACM</i> , Vol. 29, No. 1, pp. 19-29, (January 1986).
<i>J</i>	Banatre, Michel, "Enchere: A Distributed Auction Bidding System, External Characteristics and General Design Considerations," <i>The International Computing Symposium - Systems Architecture</i> , pp. 10-21, 1981.
<i>J</i>	Banatre, Michel, "Distributed Auction Bidding System," <i>Computer Communications</i> , Vol. 4, No. 4 pp. 179-186, (August 1981).

EXAMINER


JAMES W. MYHRE
PRIMARY EXAMINER

DATE CONSIDERED

RECEIVED**OCT 09 2003**

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

GROUP 3600



PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICESUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661APPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>[Signature]</i>	Banning, Edward, "About Coins Rare Coin Auctions Enter the Video Technology Era," May 23, 1987, The Globe and Mail, p. E18.
<i>[Signature]</i>	Batchelor, Charles, "Reuters Takes on the Stock Exchange," Financial Times, UK News, May 23, 1985.
<i>[Signature]</i>	Beam, Carrie, et al., "CXN: A Case Study," CMIT Working Paper 97-WP-1025, pp. 1-13.
<i>[Signature]</i>	Beam, Carrie, et al., "Electronic Negotiation Through Internet-Based Auctions," CITM Working Paper 96-WP-1019, pp. 1-35, December 1996.
<i>[Signature]</i>	Beeder, David C., "Video Auction Attracts 300 Cattlemen," The Omaha World-Herald, February 8, 1985.
<i>[Signature]</i>	Belsie, Laurent, "Details and Delays Bog Down FCC Bid to Try New Auction System Former us fo Lottery Fails to build Base for new Technologies," Christian Science Monitor, p. 8, April 25, 1994.
<i>[Signature]</i>	Berss, Marcia, "With CapitalLink, the commercial bankers would take another step onto Wall Street's turf. Merrill Lynch is fighting back," <i>Forbes</i> , pp: 42-43, (May 28, 1990).
<i>[Signature]</i>	Bertin, Oliver, "Cattle Auctions are on the Road to Extinction," The Globe and Mail, p. B1, March 15, 1982.
<i>[Signature]</i>	Bertsekas, D.P., et al., "A forward/reverse auction algorithm for asymmetric assignment problems," <i>Computational Optimization and Applications</i> , Vol. 1, No. 3, Dec. 1992, Abstract.
<i>[Signature]</i>	Bichler, Martin, et al., "Multi-Attribute Auctions for Electronic Procurement," available at www.econjit.edu/bartel/NegroPap/multiattributeauctions.pdf , 1999, (13 pages).
<i>[Signature]</i>	Bikchandani, Sushil, et al., "The Economics of Treasury Securities Markets," <i>Journal of Economic Perspectives</i> , Vol. 7, No. 3, pp. 117-134, (Summer 1993).
<i>[Signature]</i>	Bisbee, Dana, "Museum Fund-Raiser is Sold on the Internet," Boston Herald, May 22, 1995, p.33.
<i>[Signature]</i>	Bollestev, Tim, et al., "Some Effects of Restricting the Electronic Order Book in an Automated Trade Execution System," an Article in <i>The Double Auction Market</i> , pp.221-252, 1993.
<i>[Signature]</i>	Booker, Ellis, "Mega Real Estate Auction Counts on Imaging," Computerworld, December 7, 1992.
<i>[Signature]</i>	Brown, David, "Bids go Sky High at Satellite Cattle Sale," The Daily Telegraph, October 31, 1992, p. 9.
<i>[Signature]</i>	Bryant, Adam, "Looking for Low-Price Airline Tickets? - Take Quick Trip to Internet Auction," The Commercial Appeal (Memphis), Business, p. 5B, May 14, 1996.
<i>[Signature]</i>	Bryant, Adam, "Am I Bid Six? Click to Bid Six!; Airlines Are the Latest to Move to On-Line Auctions," The New York Times, p. D1, May 13, 1996.

EXAMINER

JAMES W. MYHRE
PRIMARY EXAMINER

DATE CONSIDERED

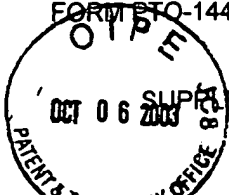
RECEIVED

OCT 09 2003

GROUP 3600

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS 	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
	FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jr</i>	Byrne, John, "Special Feature: Is the Reform Worse Than the Problem? A Stock Market Chief Takes a Second Look at the SEC's History of Breaking up Dealer Monopolies," Traders Magazine, December 1, 1999.
<i>Jr</i>	Bunker, Ted, "How Auction Technology Sped and Enhanced Sale of Radio Licenses," Investor's Business Daily, p. A3, February 24, 1995.
<i>Jr</i>	Burden, Peter, "Pig Men Plug Into Computers," Australian Financial Review, p. 37, September 19, 1984.
<i>Jr</i>	Burrus, Victoria, "The Change Page-The Virtual Stockyard Herd Instincts/Today's Ranchers are as Likely to be Punching Computers as Steers. The Electronic Auction has become an Established part of the Cattle Business - and the Salvation of One Auction Company," The Globe and Mail, p. B28, September 27, 1994.
<i>Jr</i>	Carey, Christopher, "Firm Offers Auction for Airline Tickets," St. Louis Post-Dispatch, Inc., p. 1B, August 7, 1991.
<i>Jr</i>	Carlsen, Clifford, "From Airline Tickets to Human Organs, the Electronic Markets are Booming," San Francisco Business Times, Vol. 3, No. 50, Sec. 1, p. 17, August 14, 1989.
<i>Jr</i>	Cassady, Jr., Ralph, <u>Auctions and Auctioneering</u> , "Modern Communication Systems," pp. 193-208, 1967.
<i>Jr</i>	Castro, Laura L., "Fish Sales Flounder in Brooklyn," City Newsday, p. 13, December 19, 1987.
<i>Jr</i>	Cavalier, Rodney, "Micro-Chip V. Saleyard," Australian Financial Review, p. 47, August 15, 1988.
<i>Jr</i>	Chamberlain, Art, "Cyber-Shopping Made Safe Encrypted System Created to Block Hackers from Stealing Credit Card Numbers on the Internet," The Toronto Star, May 25, 1996, p. C3.
<i>Jr</i>	Charlier, Marj, "Video Auctions Could Spell End For Stockyards," The Wall Street Journal, November 2, 1988.
<i>Jr</i>	Charlton, Scott, "Video Livestock Auctions Don't Dirty Buyers' Boots," Houston Chronicle, p. 3, February 18, 1991.
<i>Jr</i>	Cole, Jeff, "Fare Bidding Plan Could Be the Ticket," St. Paul Pioneer Press Dispatch-Business, March 11, 1990 (2 pages).
<i>Jr</i>	Cohen, Kalman, et al., "An Electronic Call Market: Its Design and Desirability," in <u>The Challenge of Information Technology for the Securities Markets Liquidity, Volatility, and Global Trading</u> , pp. 15-57, 1989.
<i>Jr</i>	Cohen, Norma, "Day of Reckoning for Stock Exchange: Board to Decide on Launch of an Alternative to the Quote-Driven Trading System," Financial Times (London), p. 15, November 30, 1995.

EXAMINER


 JAMES W. MYHRE
 PRIMARY EXAMINER

 RECEIVED
 DATE CONSIDERED
 OCT 09 2003 11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Drawline with slash citation if not conformance and not considered. Include copy of this form with next communication to applicant.

GROUP 3600



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICESUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661APPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jr</i>	Cohen, Norma, "Stock Exchange Rejects Trading Shake-Up," <i>Financial Times</i> (London), October 23, 1995, p. 22.
<i>Jr</i>	Cohen, Norma, "Stock Exchange to Debate Hybrid Market Plans," <i>Financial Times</i> (London), pp. 6, November 27, 1995.
<i>Jr</i>	Coleman, Zach, "Electronic Trading System Matches Buyers, Sellers," <i>Atlanta Business Chronicle</i> , Vol. 20, No. 12, p. 37A, August 22-28, 1997.
<i>Jr</i>	Coggan, Philip, "Weekend Money: Making Sense of the market Maze - Where's best to invest?," November 4, 1995, p. 1.
<i>Jr</i>	Connor, John, "House Panel Wants Treasury To Protect Computer Auction System," <i>Dow Jones News Service-Ticker</i> , July 8, 1993.
<i>Jr</i>	Connor, John, "GAO - Automated Tsy Auctions Cut Time, But Problems Remain," <i>Dow Jones News Service-Wall Street Journal Combined Stories</i> , September 6, 1994.
<i>Jr</i>	Court, Chris, "Armchair Market Plan For Livestock Farmers," <i>Press Association Newsfile</i> , November 8, 1992.
<i>Jr</i>	Court, Chris, "Satellite Link Takes Armchair Market to Farmers," <i>Press Association Newsfile</i> , July 12, 1992.
<i>Jr</i>	Court, Chris, "Farmers Buy Cattle by Satellite," <i>Press Association Newsfile</i> , May 20, 1993.
<i>Jr</i>	DelRosso, Laura, "Marketel says It Plans to Launch Air Fare 'auction' in June," <i>Travel Weekly</i> , Vol. 50, No. 34, April 29, 1991, (2 pages).
<i>Jr</i>	DeSilva, Janet, "Wool Unravels the Past," <i>Australian Financial Review</i> , p. 1, May 5, 1989.
<i>Jr</i>	Domowitz, Ian, "The Mechanics of Automated Trade Execution Systems," <i>Journal of Financial Intermediation</i> , Vol. 1, pp. 167-194 1990.
<i>Jr</i>	Domowitz, Ian, "A Taxonomy of Automated Trade Execution Systems," <i>Journal of International Money and Finance</i> , Vol. 12, pp. 607-631, 1993.
<i>Jr</i>	Domowitz, Ian, "An Exchange Is a Many Splendored Thing: The Classification and Regulation of Automated Trading Systems," in <i>The Industrial Organization and Regulation of the Securities Industry</i> , pp.93-123, 1996.
<i>Jr</i>	Domowitz, Ian, "Automating the Continuous Double Auction in Practice: Automated Trade Execution Systems in Financial Markets," an Article in <i>The Double Auction Market</i> , pp. 27-60, 1993.
<i>Jr</i>	Domowitz, Ian, "Automating the Price Discovery Process: Some International Comparisons and Regulatory Implications," <i>Journal of Financial Services Research</i> pp. 305-326, 1992.

EXAMINER

JAMES W. MYHRE
PRIMARY EXAMINER

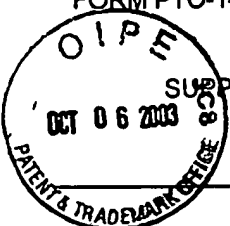
RECEIVED

DATE CONSIDERED
OCT 09 2003


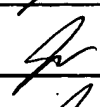
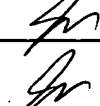
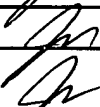
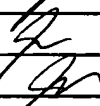
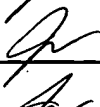
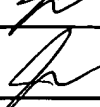
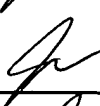
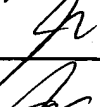
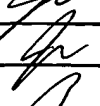
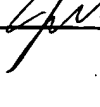



11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 809. Drawings through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

GROUP 3600

 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
	FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	Domowitz, Ian, "Equally Open and Competitive: Regulatory Approval of Automated Trade Execution in the Futures Market," <i>The Journal of Futures Markets</i> , Vol. 13, No. 1, pp. 93-113, 1993.
	Dyson, Ester, "Information, Bid and Asked," <i>Forbes</i> , August 20, 1990, p. 92.
	Economides, Nicholas, et al., "Electronic Call Market Trading," <i>The Journal of Portfolio Management</i> , pp. 10-18, Spring 1995.
	Fainaru, Steve, "What Overnight Market? Heralded as Wave of the Future, After-hours Stock Trading Has Been Greeted With Yawns," <i>The Boston Globe</i> , p. A1, July 31, 1994.
	Feinberg, Andrew, "Fears of Inflation aren't the Only Thing Driving the Bull Market for Stamps, STAMPEDE!" <i>Venture</i> , pp. 26-27, (September 1987).
	Feldman, Joan M., "To Rein in Those CRSs," <i>Air Transport World</i> , at 89, Dec. 1991, (7 pages).
	Ferris, Stephen P., "Automated Trade Execution and Trading Activity: The case of the Vancouver Stock Exchange," <i>Journal of International Financial Markets, Institutions and Money</i> , Vol. 7, pp. 61-72, 1997.
	Field, Michael, "Buy Equity and Stay Married," <i>Euromoney</i> , n318, pp.74-76, October 1995.
	Fischetti, Mark, "The Rise of E-Business," <i>Think Research Magazine</i> , December 26, 1999 (3 pgs.).
	Fowler, David, "The Future of the Internet as a Business Marketing Platform," <i>Telecommunications</i> , (October 1994), p. 77.
	Fox, Nicolette, "The Calm Way of Buying and Selling Livestock," <i>Sydney Morning Herald</i> , p. 23, October 10, 1988.
	Francioni, Reto, "The German Equities Market," Chapter 30, pp. 473-484 in <i>Global Equity Markets: Technological, Competitive, and Regulatory Challenges</i> , ed. Robert A Schwartz, 1995.
	Franklin, Matthew K., et al., "The Design and Implementation of a Secure Auction Service," <i>Transactions of the American Nuclear Society</i> , Vol. 62, pp.2-14, Sheraton Washington Hotel, Washington, D.C. (November 11-15, 1990).
	Frazier, Deborah, "Cattle Star in Stock Show's Satellite Video Auction Two-day Bellringer Brought \$30 million as ranchers from 35 States Tuned in," <i>Rocky Mountain News</i> , January 14, 1995, p. 50A.
	Frino, Alex, et al., "The Liquidity of Automated Exchanges: New Evidence from German Bund Futures," <i>Journal of International Financial Markets, Institutions and Money</i> , Vol. 8, pp. 225-241, 1998.
	Fritschner, Sarah, "Matchmaker for The Horsey Set," <i>American Demographics</i> , pp. 48-50, (June 1988).
	Gaines, B.R., et al., "Minicomputers in Security Dealing," <i>Computer</i> , vol. 9, no. 9, pp. 6-15, September 1976.

EXAMINER

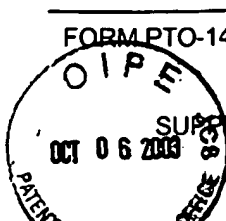

 JAMES W. MYHRE
 PRIMARY EXAMINER

RECEIVED

DATE CONSIDERED

OCT 09 2003 11/2/05
GROUP 3600

EXAMINER: Initial if citation considered, whether or not citation is in conformance with the Manual of Patent Examining Procedure, 1992, 1100, 1101, 1102, 1103, 1104, 1105, 1106, 1107, 1108, 1109, 1110, 1111, 1112, 1113, 1114, 1115, 1116, 1117, 1118, 1119, 1120, 1121, 1122, 1123, 1124, 1125, 1126, 1127, 1128, 1129, 1130, 1131, 1132, 1133, 1134, 1135, 1136, 1137, 1138, 1139, 1140, 1141, 1142, 1143, 1144, 1145, 1146, 1147, 1148, 1149, 1150, 1151, 1152, 1153, 1154, 1155, 1156, 1157, 1158, 1159, 1160, 1161, 1162, 1163, 1164, 1165, 1166, 1167, 1168, 1169, 1170, 1171, 1172, 1173, 1174, 1175, 1176, 1177, 1178, 1179, 1180, 1181, 1182, 1183, 1184, 1185, 1186, 1187, 1188, 1189, 1190, 1191, 1192, 1193, 1194, 1195, 1196, 1197, 1198, 1199, 1200, 1201, 1202, 1203, 1204, 1205, 1206, 1207, 1208, 1209, 1210, 1211, 1212, 1213, 1214, 1215, 1216, 1217, 1218, 1219, 1220, 1221, 1222, 1223, 1224, 1225, 1226, 1227, 1228, 1229, 1230, 1231, 1232, 1233, 1234, 1235, 1236, 1237, 1238, 1239, 1240, 1241, 1242, 1243, 1244, 1245, 1246, 1247, 1248, 1249, 1250, 1251, 1252, 1253, 1254, 1255, 1256, 1257, 1258, 1259, 1260, 1261, 1262, 1263, 1264, 1265, 1266, 1267, 1268, 1269, 1270, 1271, 1272, 1273, 1274, 1275, 1276, 1277, 1278, 1279, 1280, 1281, 1282, 1283, 1284, 1285, 1286, 1287, 1288, 1289, 1290, 1291, 1292, 1293, 1294, 1295, 1296, 1297, 1298, 1299, 1300, 1301, 1302, 1303, 1304, 1305, 1306, 1307, 1308, 1309, 1310, 1311, 1312, 1313, 1314, 1315, 1316, 1317, 1318, 1319, 1320, 1321, 1322, 1323, 1324, 1325, 1326, 1327, 1328, 1329, 1330, 1331, 1332, 1333, 1334, 1335, 1336, 1337, 1338, 1339, 1340, 1341, 1342, 1343, 1344, 1345, 1346, 1347, 1348, 1349, 1350, 1351, 1352, 1353, 1354, 1355, 1356, 1357, 1358, 1359, 1360, 1361, 1362, 1363, 1364, 1365, 1366, 1367, 1368, 1369, 1370, 1371, 1372, 1373, 1374, 1375, 1376, 1377, 1378, 1379, 1380, 1381, 1382, 1383, 1384, 1385, 1386, 1387, 1388, 1389, 1390, 1391, 1392, 1393, 1394, 1395, 1396, 1397, 1398, 1399, 1400, 1401, 1402, 1403, 1404, 1405, 1406, 1407, 1408, 1409, 1410, 1411, 1412, 1413, 1414, 1415, 1416, 1417, 1418, 1419, 1420, 1421, 1422, 1423, 1424, 1425, 1426, 1427, 1428, 1429, 1430, 1431, 1432, 1433, 1434, 1435, 1436, 1437, 1438, 1439, 1440, 1441, 1442, 1443, 1444, 1445, 1446, 1447, 1448, 1449, 1450, 1451, 1452, 1453, 1454, 1455, 1456, 1457, 1458, 1459, 1460, 1461, 1462, 1463, 1464, 1465, 1466, 1467, 1468, 1469, 1470, 1471, 1472, 1473, 1474, 1475, 1476, 1477, 1478, 1479, 1480, 1481, 1482, 1483, 1484, 1485, 1486, 1487, 1488, 1489, 1490, 1491, 1492, 1493, 1494, 1495, 1496, 1497, 1498, 1499, 1500, 1501, 1502, 1503, 1504, 1505, 1506, 1507, 1508, 1509, 1510, 1511, 1512, 1513, 1514, 1515, 1516, 1517, 1518, 1519, 1520, 1521, 1522, 1523, 1524, 1525, 1526, 1527, 1528, 1529, 1530, 1531, 1532, 1533, 1534, 1535, 1536, 1537, 1538, 1539, 1540, 1541, 1542, 1543, 1544, 1545, 1546, 1547, 1548, 1549, 1550, 1551, 1552, 1553, 1554, 1555, 1556, 1557, 1558, 1559, 1560, 1561, 1562, 1563, 1564, 1565, 1566, 1567, 1568, 1569, 1570, 1571, 1572, 1573, 1574, 1575, 1576, 1577, 1578, 1579, 1580, 1581, 1582, 1583, 1584, 1585, 1586, 1587, 1588, 1589, 1590, 1591, 1592, 1593, 1594, 1595, 1596, 1597, 1598, 1599, 1600, 1601, 1602, 1603, 1604, 1605, 1606, 1607, 1608, 1609, 1610, 1611, 1612, 1613, 1614, 1615, 1616, 1617, 1618, 1619, 1620, 1621, 1622, 1623, 1624, 1625, 1626, 1627, 1628, 1629, 1630, 1631, 1632, 1633, 1634, 1635, 1636, 1637, 1638, 1639, 1640, 1641, 1642, 1643, 1644, 1645, 1646, 1647, 1648, 1649, 1650, 1651, 1652, 1653, 1654, 1655, 1656, 1657, 1658, 1659, 1660, 1661, 1662, 1663, 1664, 1665, 1666, 1667, 1668, 1669, 1670, 1671, 1672, 1673, 1674, 1675, 1676, 1677, 1678, 1679, 1680, 1681, 1682, 1683, 1684, 1685, 1686, 1687, 1688, 1689, 1690, 1691, 1692, 1693, 1694, 1695, 1696, 1697, 1698, 1699, 1700, 1701, 1702, 1703, 1704, 1705, 1706, 1707, 1708, 1709, 1710, 1711, 1712, 1713, 1714, 1715, 1716, 1717, 1718, 1719, 1720, 1721, 1722, 1723, 1724, 1725, 1726, 1727, 1728, 1729, 1730, 1731, 1732, 1733, 1734, 1735, 1736, 1737, 1738, 1739, 1740, 1741, 1742, 1743, 1744, 1745, 1746, 1747, 1748, 1749, 1750, 1751, 1752, 1753, 1754, 1755, 1756, 1757, 1758, 1759, 1760, 1761, 1762, 1763, 1764, 1765, 1766, 1767, 1768, 1769, 1770, 1771, 1772, 1773, 1774, 1775, 1776, 1777, 1778, 1779, 1780, 1781, 1782, 1783, 1784, 1785, 1786, 1787, 1788, 1789, 1790, 1791, 1792, 1793, 1794, 1795, 1796, 1797, 1798, 1799, 1800, 1801, 1802, 1803, 1804, 1805, 1806, 1807, 1808, 1809, 1810, 1811, 1812, 1813, 1814, 1815, 1816, 1817, 1818, 1819, 1820, 1821, 1822, 1823, 1824, 1825, 1826, 1827, 1828, 1829, 1830, 1831, 1832, 1833, 1834, 1835, 1836, 1837, 1838, 1839, 1840, 1841, 1842, 1843, 1844, 1845, 1846, 1847, 1848, 1849, 1850, 1851, 1852, 1853, 1854, 1855, 1856, 1857, 1858, 1859, 1860, 1861, 1862, 1863, 1864, 1865, 1866, 1867, 1868, 1869, 1870, 1871, 1872, 1873, 1874, 1875, 1876, 1877, 1878, 1879, 1880, 1881, 1882, 1883, 1884, 1885, 1886, 1887, 1888, 1889, 1890, 1891, 1892, 1893, 1894, 1895, 1896, 1897, 1898, 1899, 1900, 1901, 1902, 1903, 1904, 1905, 1906, 1907, 1908, 1909, 1910, 1911, 1912, 1913, 1914, 1915, 1916, 1917, 1918, 1919, 1920, 1921, 1922, 1923, 1924, 1925, 1926, 1927, 1928, 1929, 1930, 1931, 1932, 1933, 1934, 1935, 1936, 1937, 1938, 1939, 1940, 1941, 1942, 1943, 1944, 1945, 1946, 1947, 1948, 1949, 1950, 1951, 1952, 1953, 1954, 1955, 1956, 1957, 1958, 1959, 1960, 1961, 1962, 1963, 1964, 1965, 1966, 1967, 1968, 1969, 1970, 1971, 1972, 1973, 1974, 1975, 1976, 1977, 1978, 1979, 1980, 1981, 1982, 1983, 1984, 1985, 1986, 1987, 1988, 1989, 1990, 1991, 1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, 2026, 2027, 2028, 2029, 2030, 2031, 2032, 2033, 2034, 2035, 2036, 2037, 2038, 2039, 2040, 2041, 2042, 2043, 2044, 2045, 2046, 2047, 2048, 2049, 2050, 2051, 2052, 2053, 2054, 2055, 2056, 2057, 2058, 2059, 2060, 2061, 2062, 2063, 2064, 2065, 2066, 2067, 2068, 2069, 2070, 2071, 2072, 2073, 2074, 2075, 2076, 2077, 2078, 2079, 2080, 2081, 2082, 2083, 2084, 2085, 2086, 2087, 2088, 2089, 2090, 2091, 2092, 2093, 2094, 2095, 2096, 2097, 2098, 2099, 2100, 2101, 2102, 2103, 2104, 2105, 2106, 2107, 2108, 2109, 2110, 2111, 2112, 2113, 2114, 2115, 2116, 2117, 2118, 2119, 2120, 2121, 2122, 2123, 2124, 2125, 2126, 2127, 2128, 2129, 2130, 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 2674, 2675, 2676, 2677, 2678, 2679, 2680, 2681, 2682, 2683, 2684, 2685, 2686, 2687, 2688, 2689, 2690, 2691, 2692, 2693, 2694, 2695, 2696, 2697, 2698, 2699, 2700, 2701, 2702, 2703, 2704, 2705, 2706, 2707, 2708, 2709, 2710, 2711, 2712, 2713, 2714, 2715, 2716, 2717, 2718, 2719, 2720, 2721, 2722, 2723, 2724, 2725, 2726, 2727, 2728, 2729, 2730, 2731, 2732, 2733, 2734, 2735, 2736, 2737, 2738, 2739, 2740, 2741, 2742, 2743, 2744, 2745, 2746, 2747, 2748, 2749, 2750, 2751, 2752, 2753, 2754, 2755, 2756, 2757, 2758, 2759, 2760, 2761, 2762, 2763, 2764, 2765, 2766, 2767, 2768, 2769, 2770, 2771, 2772, 2773, 2774, 2775, 2776, 2777, 2778, 2779, 2780, 2781, 2782, 2783, 2784, 2785, 2786, 2787, 2788, 278

	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS		APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
			FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jr</i>	Gapper, John, "Stock Exchange Rival has Slow Start," Financial Times (London), December 27, 1995, p. 5.
<i>Jr</i>	Garbade, Kenneth D., "The Effect of Interdealer Brokerage on the Transactional Characteristics of Dealer Markets," <i>Journal of Business</i> , Vol. 51, No. 3, pp. 477-498, (July 1978).
<i>Jr</i>	Gilbertson, Dawn, "Wall Street West; Arizona Stock Exchange Battles for More Volume," The Phoenix Gazette, p. B5, March 30, 1993.
<i>Jr</i>	Goodhart, Charles et al., "One Day in June 1993: A Study of the Working of the Reuters 2000-2 Electronic Foreign Exchange Trading System," pp. 107-182, <u>The Microstructure of Foreign Exchange Markets</u> , 1996.
<i>Jr</i>	Goodman, Ann, "Back to the Future," Wall Street & Technology, Vol. 9, No. 6, pp. 30-36 and 71, February 1992.
<i>Jr</i>	Gordon, Pat, "New Brand of Video Cattlemen move'em out by Making Movies for Livestock Auctions," The Dallas Morning News, p. 15A, November 2, 1987.
<i>Jr</i>	Greenberg, Peter S., "The Savvy Traveler: Lower Air Fares for Consumers not in the Cards; Airline: Remember when it cost \$16 to Fly from Los Angeles to San Francisco? Then you Remember the days before deregulation. Since then, prices have soared," Los Angeles Times, part L, p. 2, July 8, 1990.
<i>Jr</i>	Grody, Allan D., et al., "Global Electronic Markets, A Preliminary Report of Findings," Working Paper Series, STERN #IS-95-18, May 31, 1994, pp. 1-35.
<i>Jr</i>	Grody, Allan D., et al., "Past, Present and Future: The Evolution and Development of Electronic Financial Markets," Working Paper Series IS-95-21, November 1993.
<i>Jr</i>	Grover, Christopher F., "What Price, Art?," <i>CD-ROM WORLD</i> , pp. 72-73, (September 1994).
<i>Jr</i>	Hamon, Jacques, et al., "Market Structure and The Supply of Liquidity," Chapter 5, pp. 76-89 in <u>Global Equity Markets: Technological, Competitive, and Regulatory Challenges</u> , ed. Robert A Schwartz, 1995.
<i>Jr</i>	Handa, Puneet, et al., "The Ecology of an Order-Drive Market," <i>The Journal of Portfolio Management</i> , pp.47-55, Winter 1998.
<i>Jr</i>	Hands, Puneet, et al., "How Best to Supply Liquidity to a Securities Market," <i>The Journal of Portfolio Management</i> , pp. 44-51, Winter 1996.
<i>Jr</i>	Hansell, Saul, "Will Bill Donaldson Go the Way of Gobachev," Institutional Investor, February 1992.
<i>Jr</i>	Harris, Lawrence, <u>Monograph Series in Finance and Economics-Liquidity, Trading Rules and Electronic Trading Systems</u> , pp. 1-59, 1991.

EXAMINER


 JAMES W. MYHRE
 PRIMARY EXAMINER

RECEIVED


OCT 09 2003

DATE CONSIDERED

GROUP 3600

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449 	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
		APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
		FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jr</i>	Harverson, Patrick, "Age of Automation Reaches New York Bond Auctions - From Today, Dealers Will Bid By Computer on the World's Largest Securities Market," <i>Financial Times</i> (London), p. 33, April 29, 1993.
<i>Jr</i>	Harverson, Patrick, "Bond Auctions Go Modern: New York Computers take over from Sheets of Paper and Boxes," <i>The Financial Post Daily</i> , p.50 May 4, 1993.
<i>Jr</i>	Hawkins, Phil, et al., "Illiquid Bonds, Stocks To Hit Auction Block," <i>Investor's Daily Inc.</i> , p. 30, June 15, 1990.
<i>Jr</i>	Heck, Eric Van, et al., "Experiences with Electronic Auctions in the Dutch Flower Industry," <i>Electronic Markets</i> , vol. 7, no. 4, pp. 29-34, 1977.
<i>Jr</i>	Henkel, Tom, "Automated Stock Exchange Replacing Humans," <i>ComputerWorld</i> , p. 36 (February 14, 1983).
<i>Jr</i>	Hollington, Simon, "Armchair Auctions Set to Replace Market Day," <i>The Independent</i> (London), July 6, 1992.
<i>Jr</i>	Horowitz, Jed, "AZX Tries Again, Hoping New Auction Lures Traders," <i>Investment Dealers' Digest</i> , June 9, 1997 (2 pages).
<i>Jr</i>	Inhaber, Herbert, "How to Solve the Problem of Siting Nuclear Waste," pp. 61-62, <i>MRS Facility: Would Repository Collocation Be a Solution?</i> .
<i>Jr</i>	Jackson, Ted, "Bloomberg's Next Step: The Instinet Killer?," <i>Wall Street & Technology</i> , Vol. 14, No. 8, pp. 34-38, August 1996.
<i>Jr</i>	Jones, Chris, "Trade'ex readies Java-based Market Maker," <i>Infoworld</i> , (October 28, 1996).
<i>Jr</i>	Kambil, Ajit et al., "Information Technology, Competition and Market Transformations: Re-engineering the Dutch Flower Auctions," Working Paper Series, STERN#IS-95-1, (January 1995).
<i>Jr</i>	Kedrosky, Peter, "Internet Liquidators:www.internetliquidators.com," pp. 1-28, 1997.
<i>Jr</i>	Killian, Raymond L., et al., "The Effect of Liquidity on Electronic Order Routing," Chapter 4, pp. 67-75 in <i>Global Equity Markets: Technological, Competitive, and Regulatory Challenges</i> , ed. Robert A Schwartz, 1995.
<i>Jr</i>	Klein, Lisa, "TRADE'ex: The Stock Exchange of the Computer Industry", Harvard Business School document 9-597-99, September 22, 1998, (14 pages).
<i>Jr</i>	Klein, Stephan, "Introduction to Electronic Auctions," <i>Electronic Markets-International Journal of Electronic Markets</i> , vol. 7, no. 4, 1997, pp. 3-23.
<i>Jr</i>	Klemperer, Paul, "Auction Theory: A Guide to the Literature," <i>Journal of Economic Surveys</i> , Vol. 13, No. 3, pp. 227-286, (July 1999).

EXAMINER


 JAMES W. MYHRE
 PRIMARY EXAMINER

RECEIVED

DATE CONSIDERED

OCT 9 2003

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 2139; or, if not through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

GROUP 3600

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jr</i>	Korper, Steffano, et al., "Auction Technology," <i>The E-Commerce Book, Building the E-Empire</i> , "Auction Technology, Chapter 10, pp. 211-230, 2000.
<i>Jr</i>	Kuklenski, Valerie, "Rock Art for Sale on Computer Web," <i>United Press International</i> , April 24, 1995.
<i>Jr</i>	Kumar, Manoj, et al., "Internet Auctions," IBM Research Division, available at www.research.ibm.com/iac/papers/auction.fp.pdf .
<i>Jr</i>	Kuttner, Robert, "Computers may turn the World into One Big Commodities Pit," <i>Business Week</i> , p. 17, September 11, 1989.
<i>Jr</i>	Lacy, Allen, "Gardening: Bidding for Blossoms," <i>The Wall Street Journal</i> , February 22, 1984.
<i>Jr</i>	Lee, Ho Geun, et al., "AUCNET: Electronic Intermediary for Used-Car Transactions," <i>Focus Theme, International Journal of Electronic Market</i> , pp. 24-28, December 1997.
<i>Jr</i>	Lee, Ho Geun, "Do Electronic Marketplaces Lower the Price of Goods?," <i>Communications of the ACM</i> , Vol. 41, No. 1, January 1998, pp. 73-80.
<i>Jr</i>	Lee, Ruben, <i>What is an Exchange? The Automation, Management, and Regulation of Financial Markets</i> , pp. 279-394, 1998.
<i>Jr</i>	Leinweber, David et al., "A Little Artificial Intelligence Goes a Long Way on Wall Street," <i>Institutional Investor</i> , Winter 1996.
<i>Jr</i>	Leinweber, David, "Using Information from Trading in Trading and Portfolio Management," <i>The Journal of Investing</i> , <i>The Journal of Investing</i> , Vol. 4, No. 2, p. 40, Summer 1995.
<i>Jr</i>	Levecq, Hugues, et al., "Electronic Trading Systems: Strategic Implications of Market Design Choices," Working Paper, STERN #IS-95-19, 1995.
<i>Jr</i>	Lewis, Peter H., "Auction of Collectibles on the Internet," <i>The New York Times</i> , p.D4, May 23, 1995.
<i>Jr</i>	Littlefair, T., "Homelink: a unique service," <i>Computer Bulletin</i> , pp. 12-13, (June 1986).
<i>Jr</i>	Lux, Hal, "Institutional Stock Exchange Puts Order Book on the Web; AZX Experiments with a New Way to Reach Investors," <i>Investment Dealers' Digest</i> , p. 6, November 20, 1995.
<i>Jr</i>	Lux, Hal, "New Arizona Exchange Opens with Decent, Steady Volume; Trading Systems Increase Pressure on Exchanges," <i>Investment Dealers' Digest</i> , p. 6, April 20, 1992.
<i>Jr</i>	Lux, Hal, "Stock Exchanges Hold Talks on New Joint Trading System; Inventors could Gain New Access to Equity Floors," <i>Investment Dealers' Digest</i> , p. 6, December 7, 1992.
<i>Jr</i>	Lux, Hal, "VISION TEST: Can Steve Wunsch Build a Better Exchange?" <i>Investment Dealers' Digest</i> , p. 18, September 17, 1990.
<i>Jr</i>	MacConnell, Sean, "Video Stars for New Pastures," <i>The Irish Times</i> , July 24, 1993, p. 13.

EXAMINER

JAMES W. MYHRE
PRIMARY EXAMINER


RECEIVED

DATE CONSIDERED
OCT 09 2003

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not conformance and not considered. Include copy of this form with next communication.

GROUP 3600

	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS		APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
			FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>J</i>	Madden, Bartley et al., "Structural Changes in Trading Stocks," The Journal of Portfolio Management, Fall 1993 (11 pages).
<i>J</i>	Markoff, John, "Breaking Up Computer Traffic Jams," The Orange County Register, p. d5, May 25, 1989.
<i>J</i>	Markoff, John, "Can Xerox Auction Off Hot Air?" The New York Times, p. D5, June 24, 1996.
<i>J</i>	Markoff, John, "Spawning a New Way to Buy Time," Sydney Morning Herald, May 8, 1989, p. 22.
<i>J</i>	Massimb, Marcel, et al., "Electronic Trading, Market Structure and Liquidity," Financial Analysts Journal, Vol. 50 No. 1, pp. 39-50, Jan-Feb. 1994.
<i>J</i>	Mastery, Mary Ann, "GOING, GOING, GONE, Electronic bidding devices are usurping physical contact at Japan's car auctions," Automotive News, (November 26, 1990) p. 6.
<i>J</i>	Matthew, Janet, "OTC Success Spurs Specialized Trading Systems," Wall Street Computer Review, pp. 26-28 and 32-38, September 1989.
<i>J</i>	Maynard, Therese H., "What is an "Exchange?" - Proprietary Electronic Securities Trading Systems and The Statutory Definition of an Exchange," Washington and Lee Law Review, vol. 49, no. 3, Summer 1992, pp. 833-912.
<i>J</i>	McCabe, Kevin et al., "Institutional Design for Electronic Trading," Chapter 8, pp.121-156 in <u>Global Equity Markets: Technological, Competitive, and Regulatory Challenges</u> , ed. Robert A Schwartz, 1995.
<i>J</i>	McGookin, Stephen, "Media Futures: Cyber Sightings," Financial Times (London), October 30, 1995.
<i>J</i>	McInish, Thomas, H., et al., "Hidden Limit Orders on the NYSE," <i>The Journal of Portfolio Management</i> , pp. 19-26, Spring 1995.
<i>J</i>	McMillian, John, "Selling Spectrum Rights," <i>Journal of Economic Perspectives</i> , Vol. 8, No. 3, pp. 145-162, Summer 1994.
<i>J</i>	Meissner, Frank, "Centralized electronic marketing systems improve trading of agricultural commodities," <i>Marketing News</i> , at 14 (November 27, 1981).
<i>J</i>	Metcalfe, Rod, "Cattle Sale on Videotape," Australian Financial Review, March 22, 1985, p. 26.
<i>J</i>	Michaels, Jenna, "Customized Listed Contracts," Wall Street & Technology, Vol. 10, No. 10, pp. 56-62, May 1993.
<i>J</i>	Milgrom, Paul, "Auctions and Bidding: A Primer," <i>Journal of Economic Perspectives</i> , Vol. 3, No. 3, pp. 3-22, Summer 1989.
<i>J</i>	Miller, Greg, "Celebrity Auctions are Going, Going ...Online," Los Angeles Times, May 13, 1996.

EXAMINER

J

JAMES W. MYHRE
PRIMARY EXAMINER

RECEIVED

DATE CONSIDERED

OCT 09 2003

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

GROUP 3600

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jr</i>	Miller, Ross M., "The Design of Decentralized Auction Mechanisms That Coordinate Continuous Trade in Synthetic Securities," <i>Journal of Economic Dynamics and Control</i> , Vol. 14, pp. 237-253, (1990).
<i>Jr</i>	Miniclier, Kit, "Livestock Buyers to put \$50 Million on the line 'Home on the Range' to be Sold Via Film," <i>Denver Post</i> , p. 23A, January 9, 1994.
<i>Jr</i>	Mitchell, Constance, "Big Junk Bond - Players to Try Weekly Auction," <i>The Wall Street Journal</i> , p. C15, June 14, 1990.
<i>Jr</i>	Mitchell, Russell, "The Corporation How GE is Electrifying the Auto-Auction Business — GECC's Deep Pockets are helping to Make It No. 1," <i>Business Week</i> , p. 68(3 pgs.), May 16, 1988.
<i>Jr</i>	Mitchener, Brandon, "On Election Bourse, Race Is Wide Open; German Contest Remains Close for Buyers of Political 'Shares'," <i>International Herald Tribune</i> (Neuilly-sur-Seine, France), October 13, 1994.
<i>Jr</i>	Montgomery, Johnnye, "MOOTV: Airwave Auction Technology Transforms a Decades-old Tradition," <i>The Dallas Morning News</i> , p. 33A, January 6, 1991.
<i>Jr</i>	Moon, Youngme, "Onsale, Inc.," Article 5-500-022 from Harvard Business School, Teaching Note, pp. 1-14, August 23, 1999.
<i>Jr</i>	Moon, Youngme, "Onsale, Inc." Article 9-599-091 from Harvard Business School pp. 1-17, May 17, 1999.
<i>Jr</i>	Munro, Don, et al., "A New Way to Purchase Travel," <i>Business Travel News</i> , Issue No. 158, November 6, 1985.
<i>Jr</i>	Munshi, Jamal, <i>Stock Exchange Automation</i> , pp. 1-48, 73-94, 1994.
<i>Jr</i>	Noack, David, "First Stop for Some Lies in Cyberspace," <i>The Record</i> , p. T01, February 19, 1995.
<i>Jr</i>	Neo, Boon Siong, "The implementation of an electronic market for pig trading in Singapore," <i>Journal of Strategic Information Systems</i> , Vol. 1, No. 5, pp. 278-287, (December 1992).
<i>Jr</i>	Nomani, A., "Public May Submit Bids to get Bargain Rates," <i>The New York Times Company: Abstracts</i> , <i>Wall Street Journal</i> , Sec. 2, p. 1, August 1, 1989.
<i>Jr</i>	Nomani, Asra Q., "Airline Industry Cutting More Fares for Fall Vacations," <i>Wall Street Journal</i> , p. B1, August 21, 1991.
<i>Jr</i>	Nelson, Janet, "Airlines Relaxing Policy on No-Refund Tickets," <i>The New York Times</i> , sec. 5, p. 3, September 22, 1991.
<i>Jr</i>	Nyberg, Bartell, "Sale at Video Auction a Record but Prices Hurt by Chicago Probe," <i>Denver Post</i> , p. 1, January 20, 1989.
<i>Jr</i>	Ogura, Masao, "Here Come The LITTLE GUYS!," <i>Tokyo Business</i> , pp. 4-11, (February 1995).

EXAMINER

JAMES W. MYHRE
PRIMARY EXAMINER


RECEIVED

DATE CONSIDERED
OCT 09 2003

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

GROUP 3600

	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS		APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
			FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jm</i>	O'Sullivan, Orla, "Auctions to Be Computer-Orchestrated," <i>National Thrift News, Inc.</i> , p. 15 March 14, 1994.
<i>Jm</i>	Parameswaran, Manoj, et al., "Electronic Markets and the Logistics of Digital Products," <i>Center for Research in Electronic Commerce The University of Texas, Austin</i> , January 1999, (16 pages).
<i>Jm</i>	Parkes, David C., "iBundle: An Efficient Ascending Price Bundle Auction," pp. 148-157, available at www.eecs.harvard.edu/econs/pub/ibundle.pdf , (1999).
<i>Jm</i>	Pelline, Jeff, "Going Once, Going Twice, Going Online," <i>The San Francisco Chronicle, Business</i> : p. B1, November 13, 1995.
<i>Jm</i>	Pelline, Jeff, "Travelers Bidding On Airline Tickets SF Firm Offers Chance for Cut-Rate Fares," <i>The San Francisco Chronicle</i> , p. A4, August 19, 1991.
<i>Jm</i>	Peltz, Michael, "Instinet's Identity Crisis," <i>Institutional Investor</i> , p. 53, November 1995.
<i>Jm</i>	Piton, Margaret, "Budget Travel - New Service gives access to a choice of airline fares U.S. Flights only. A bidding system called Bookit sounds complicated and requires access to a fax machine, but it has advantages," <i>The Globe and Mail</i> , p. F5, September 21, 1991.
<i>Jm</i>	Quiddington, Peter, "Fish Markets Plan Auctions On-Line," <i>Sydney Morning Herald</i> , p. 4, March 28, 1988.
<i>Jm</i>	Reed, Dan, "Airlines Go On Line to Cut Costs; Carriers try to Fill More Seats, Lowering Ticketing Expenses," <i>The Fort Worth Star-Telegram-Business</i> , p.1, April 13, 1996.
<i>Jm</i>	Reiley, David Lucking, "Auctions on the Internet: What's Being Auctioned, and How?, August 14, 1999, p. 1-54.
<i>Jm</i>	Reiley, David Lucking, "Vickrey Auctions Predate Vickrey," <i>Journal of Economic Perspectives</i> , pp. 1-10, June 1999.
<i>Jm</i>	Resnick, Paul, et al., "Roles for Electronic Brokers," available at http://ccs.mit.edu/papers/CCSWP179.html , printed on September 8, 1997 (11 pages).
<i>Jm</i>	Rockoff, Todd E. et al., "Design of an Internet-Based System for Remote Dutch Auctions," <i>Internet Research: Electronic Networking Applications and Policy</i> , Vol. 5, No. 4, pp. 10-16, (1995).
<i>Jm</i>	Rohrer, Julie, "Steve Wunsch's Uphill Battle," <i>Institutional Investor</i> , pp. 103-107 (December 1988).
<i>Jm</i>	Rosenblatt, Robert A., "New Treasury Auction System Is Criticized Securities: Agency Plans to Begin Computerized Operations Today Despite GAO Objections," <i>Los Angeles Times</i> , p. 1, April 29, 1993.
<i>Jm</i>	Rosenthal, Mindy, "Electronic Mortgage-And Asset-Backed Trading System Nears Launch," <i>Institutional Investor, Inc. - Bondweek</i> , Vol. XI, No. 18, p. 1, May 6, 1991.

EXAMINER

Jm
JAMES W. MYHRE
PRIMARY EXAMINER

RECEIVED

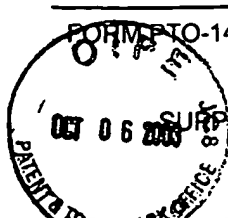
OCT 09 2003

DATE CONSIDERED

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

GROUP 3600

	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
		FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jr</i>	Rust, John, et al., "Behavior of Trading Automata in a Computerized Double Auction Market," an Article in <u>The Double Auction Market</u> , pp.155-198, Addison-Wesley, 1993.
<i>Jr</i>	Sales, Robert, "Nasdaq ECNs: A Brave New World," <i>Wall Street & Technology</i> , Vo. 16, No. 8, pp. 28-32, August 1998.
<i>Jr</i>	Sales, Robert, "Sec to Wall Street...Play Fair with the Little Guy," <i>Wall Street & Technology</i> , Vol, 15, No. 1, p. 42-48, February 1992.
<i>Jr</i>	Sammer, Harald W., "Online Stock Trading Systems: Study of an Application," (2 pages).
<i>Jr</i>	Sanders et al., "Auctioning by Satellite using Trusted Third Party Security Services," in "Information Security the Next Decade, Proceedings of the IFIP TC11 Eleventh International Conference on Information Security, IFIP/Sec '95," pp. 205-219, 1995.
<i>Jr</i>	Schmerken, Ivy, "Oh What Memories!," <i>Wall Street & Technology</i> , June 18, 2002.
<i>Jr</i>	Schneider, Anna Rubino, "Information Processing High Tech Comes to the Tulip Bed — Facing Competition and High Costs, The Dutch are Using Computers to turn Farms into Flower Factories," <i>Business Week</i> , p. 72D, May 12, 1986.
<i>Jr</i>	Schroeder, Mary, "An Insider's Guide," <i>Securities Industry News</i> , January 17, 2000.
<i>Jr</i>	Searles, Denis, M., "No need to hoof it to Video Cattle Auction Satellite Technology from Colorado firm Connects Buyer, Seller," <i>Rocky Mountain News</i> , p. 3A, April 30, 1993.
<i>Jr</i>	Segev, Arie, et al., "Brokering Strategies in Electronic Commerce Markets," pp.167-176, presented at ACM Conference on Electronic Commerce, November 1999.
<i>Jr</i>	Selby, Beth, et al., "Steve Wunsch's Wall Street Southwest," <i>Institutional Investor</i> , p. 12, February 1992.
<i>Jr</i>	Shahan, Catherine, "Public Television Station Holds On-line Computer Auction," February 11, 1987.
<i>Jr</i>	Sheppard, Robert, "Western Cattle Make Star Trek to help Bridge Regional Gap," <i>The Globe and Mail</i> , p. 14, October 29, 1983.
<i>Jr</i>	Sinclair, Joseph T., <u>eBay the Smart Way-Selling, Buying, and Profiting on the Web's #1 Auction Site</u> , pp. 3-11, 1999.
<i>Jr</i>	Sirri, Erik, "Jefferies & Company: ITG," Article 9-294-952 from Harvard Business School, pp. 1-24, November 1, 1993.
<i>Jr</i>	Smith, Carrie, R., "Futures Exchanges Go for Global Links," <i>Wall Street & Technology</i> , Vol. 12, No. 13, pp. 20-24, May 1995.
<i>Jr</i>	Smith, Terry, "The Good, The Bad and The Ugly," <i>Management Today</i> , pp. 54-60, September 1995.

EXAMINER


 JAMES W. MYHRE
 PRIMARY EXAMINER

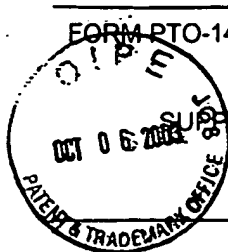
RECEIVED

OCT 09 2003

DATE CONSIDERED 11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with communication to applicants.

GROUP 3600

	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS		APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
			FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jr</i>	Stutz, Bruce, "New York Forum About Town - Fishport's Bait Nets a Loss," <i>Newsday</i> , p. 66, February 2, 1990.
<i>Jr</i>	Sviokla, John, et al., "AUCNET: Teaching Note," Harvard Business School Publication 5-396-280, February 21, 1997 (25 pages).
<i>Jr</i>	Szabo, Nick, "Smart Contracts," pp. 1-8, 1994, available at http://szabo.best.vwh.net/smart.contracts.html .
<i>Jr</i>	Taylor, John, "Selling Without the Smelling Televised Auction will sell About 25, 000 Head of Cattle," <i>The Omaha World-Herald, Bulldog</i> , March 23, 1988.
<i>Jr</i>	Thomas, Charles M., "Real-time computer auction bows," <i>Automotive News</i> , p. 52, (February 7, 1994).
<i>Jr</i>	Tomkins, Richard, "Passengers take a Seat at the Internet Auction: Richard Tomkin on Airlines' Ventures into Cyberspace in their quest to fill unused Capacity," <i>The Financial Times Limited, Financial Times (London)</i> , June 17, 1996.
<i>Jr</i>	Toner, Ann, "Hogs Sold in High-Tech Bidders Linked Through Satellite," <i>The Omaha World-Herald</i> , p. 3M, September 11, 1994.
<i>Jr</i>	Varian, Hal R., "Economic Mechanism Design for Computerized Agents," <i>First USENIX Workshop on Electronic Commerce</i> , pp.13-21, July 11-12, 1995.
<i>Jr</i>	Wallace, Anise C., "Market Place: A Wary Response to 'Junk' Auction," <i>The New York Times Company</i> , August 13, 1990, p. D4.
<i>Jr</i>	Wang, Jianxin, "Asymmetric Information and the Bid-ask Spread: an Empirical Comparison between automated order execution and open outcry auction," <i>Journal of International Financial Markets, Institutions and Money</i> , Vol. 9, pp. 115-128, 1999.
<i>Jr</i>	Warbelow, Art, et al., "AUCNET: TV Auction Network System," Harvard Business School Publication 9-190-001, Rev. April 12, 1996, originally published July 19, 1989 (15 pages).
<i>Jr</i>	Warbelow, Arthur W., <i>Electronic Market Access Forums in Non-Homogeneous Markets: An Exploratory Study of Environmental, Market Structure, and Managerial Considerations</i> , (A thesis presented by Arthur W. Warbelow, Harvard University), (1992).
<i>Jr</i>	Ware, James, P., <i>The Search for Digital Excellence</i> , McGraw-Hill, pp. 235-243, July 8, 1998.
<i>Jr</i>	Wameke, Kent, "Omaha to See First Video Cattle Sale Today," <i>The Omaha World-Herald, Bulldog</i> , February 7, 1985.
<i>Jr</i>	Waters, Richard, "The Price of a Share in the Cake/Are Structural Problems in the US Stock market Being Obscured?" <i>Financial Times (London)</i> , p. 16, January 31, 1994.

RECEIVED

OCT 09 2003

EXAMINER *Jr*
JAMES W. MYHRE
PRIMARY EXAMINER

DATE CONSIDERED 11/2/05

GROUP 3600

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>JW</i>	Watson, Catherine, "Dutch Flower Auctions are Fast-Paced, Mystery Blend of Nature, Technology," Star-Tribune Newspaper of the Twin Cities Mpls. - St. Paul, p. 13G, February 12, 1995.
<i>BP</i>	Wayner, Peter, "Time and Money," BYTE, pp. 252-258, April 1990.
<i>JW</i>	Wernle, Bradford, "Aucnet Plans New Channel for Used Cars," <i>Crain Communications, Inc.</i> , Section: News: Pg 54, February 19, 1996.
<i>JW</i>	Williams, Arlington, W., "Computerized Double-Auction Markets: Some Initial Experimental Results," <i>Journal of Business</i> , Vol. 53, No. 3, pp. 235-258, (1980).
<i>JW</i>	Williams, Monci Jo., "Why the Big Players Want a Piece of Instinet," <i>Fortune</i> , p. 129, August 19, 1985.
<i>JW</i>	Wilson, Robert, "Design of Efficient Trading Procedures," an Article in <i>The Double Auction Market</i> , pp.125-152, 1993.
<i>JW</i>	Wren, Worth, "Cattle Auctions Step into the Electronic Age," <i>The Fort Worth Star-Telegram</i> , p. 4, January 28, 1991.
<i>JW</i>	Wunsch, Steven, "The Single-Price Auction," pp. 279-290, <i>The Complete Guide to Securities Transactions</i> , ed. by Wayne Wagner, 1989.
<i>JW</i>	Wunsch, Steven, "Time to Change Open Outcry Method, (3 pages).
<i>JW</i>	Wurman, Peter R., et al., "A Control Architecture for Flexible Internet Auction Servers," University of Michigan, pp. 1-12, February 6, 1999.
<i>JW</i>	Wurman, Peter R., et al., "Flexible Double Auctions for Electronic Commerce: Theory and Implementation," <i>Decision Support Systems</i> Vol. 24, pp. 17-27, (1998).
<i>JW</i>	Zampetakis, Helene, "Computers put Fish Auctions on Much More Efficient Scale," <i>Australian Financial Review</i> , p. 47, October 30, 1989.
<i>JW</i>	"Airline Seats May Go on the Auction Block," <i>Insight on the News</i> , December 4, 1989.
<i>JW</i>	"An Electronic Auction Ahead for Airline Crisis?," <i>The BusinessWeek Newsletter for Information Executives</i> , Oct. 27, 1989.
<i>JW</i>	"Arizona Stock Exchange Opportunity Knocks," <i>The Arizona Republic</i> , p. A14, November 22, 1991.
<i>JW</i>	"Arizona Stock Exchange: Skeptics are Forgiven," <i>The Phoenix Gazette</i> , p. B4, April 1, 1996.
<i>JW</i>	"At this Auction, you can Bid by Computer," <i>Business Week</i> - p. 90, January 19, 1987.
<i>JW</i>	"At Dead Line: AZX Alliance, Arizona Stock Exchange Discusses Possible Alliances with 6 Registered Broker-Dealer Groups, All Affiliated with an Electronic Communications Network or Operate as an ECN," <i>Traders Magazine</i> , March 1, 1999.

RECEIVED

OCT 09 2003

EXAMINER

JAMES W. MYHRE
PRIMARY EXAMINER

GROUP 3600

DATE CONSIDERED

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
	FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>JW</i>	"At Dead Line: Listed on AZX, Arizona Stock Exchange applies to trade listed stocks," <i>Traders Magazine</i> , March 1, 1999.
<i>JW</i>	"Attention Business Editors: Bank of Montreal Endorses Secure Credit Card Transactions on-line," <i>Canada Newswire</i> , April 18, 1996.
<i>JW</i>	<i>Aucnet Inc., Car Auctions By Satellite</i> , <i>Asian Business</i> , November 1994, p. 16.
<i>JW</i>	"Auction Block for Va. Lambs is a Computer," <i>The Washington Post</i> , p. v13, March 23, 1989.
<i>JW</i>	"Auctioning Unsold Airline Tickets," available at www.globalideasbank.org , printed on September 28, 1999.
<i>JW</i>	"Auctionnet Grows Rapidly in its First Year," <i>Crain Communications, Inc.</i> , September 23, 1991.
<i>JW</i>	"AT&T Develop 'paperless' Computerized Auction System for National Car Auctions," <i>M2 Presswire</i> , March 13, 1997.
<i>JW</i>	"Automated Auctions - 4 -: GAO Questions Benefits of System," <i>Dow Jones News Service - Ticker</i> , April 28, 1993.
<i>JW</i>	"Banks Battle Credit Card Hackers," <i>Canadian Press Newswire</i> , May 31, 1996.
<i>JW</i>	"Barbarians at the gate: Electronic Trading Systems are Proliferating South of the Border. Should Canadian Regulators open the door?," <i>Canadian Investment Review</i> , pp.18-21, September 1994.
<i>JW</i>	"Beatrice Feeder-Pig Sales Beamed Up to Satellite," <i>The Omaha World-Herald</i> , p. 11, August 29, 1994.
<i>JW</i>	"Bid.Com's Overview," available from www.bid.com , printed December 8, 1999.
<i>JW</i>	"Black-box Global Trading a Challenge to Regulators," <i>American Banker</i> , Vol. 157, No. 120, p. 5(1), June 23, 1992.
<i>JW</i>	"Bond Auction By Computer, Satisfied Major Traders," <i>The Wall Street Journal</i> , July 18, 1990, p. C5.
<i>JW</i>	"BOOKIT - Travel at At the Right Price," <i>Airline Ticket Purchase Order for Business and Leisure Travel</i> , 1991.
<i>JW</i>	"Bookit Airfare Bidding System (Fax for your Plane Ticket?)," <i>Consumer Reports Travel Letter</i> , Vol. 7, No. 9, pp. 97, 106, September 1991.
<i>JW</i>	"Brief Transmission - Bank Comes Internet Credit Card Aware," <i>TeleomWorldWire</i> , April 29, 1996.
<i>JW</i>	"Building a Better Stock Market Using Call Auctions," <i>Securities Industry News</i> , March 20, 2000 (9 pages).
<i>JW</i>	"Buyside Sees Need to Upgrade Crossing Nets," <i>Wall Street Letter</i> , 60 th Annual Security Traders Assn. Convention: Vol. XXV No. 43; p. 5, November 1, 1993.

EXAMINER JAMES W. MYHRE
PRIMARY EXAMINER

RECEIVED

DATE CONSIDERED 11/2/05

EXAMINER: Initial if citation considered, whether or not citations in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

GROUP 3600

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jm</i>	"Canada's Internet Liquidators International Inc., Expands Online Auction with opening of U.S. Subsidiary In Tampa, Canada NewsWire, June 26, 1996.
<i>Jm</i>	"Cathay Pacific Online Ticket Bidding," World Internet News Digest, May 8, 1996.
<i>Jm</i>	"Cathay Pacific Airways Auctions A Boeing 747-400 Worth of Seats In Third Cybertraveler Auction; "Bid Now, Fly Later" In Online Ticket Auction," Business Wire, April 29, 1996.
<i>Jm</i>	"Cattlemen Tune In to Video Auctions," The Omaha World-Herald, p. 16b, June 16, 1990.
<i>Jm</i>	"Changing Relationships," Chartered Banker, Vol. 3, No. 10, pp. 14-18, October 1997.
<i>Jm</i>	"Computers & Automation Going, Going...Technology Is Making Antiques of Public Auctions Kathleen Doler, The Rhythmic Palaver of the Auctioneer. The Wink and Nod of the Skillful Bidder. For Good or Ill, These Scenes from the Public Real Estate Auction are Quickly Becoming Charming Relics of the Past., <i>Investor's Business Daily</i> , May 4, 1994.
<i>Jm</i>	"Computer Takes on Role of Auctioneer at Livestock Market," The Toronto Star, p. F7, February 15, 1987.
<i>Jm</i>	"Computer Bidding At Auction Termed A Success: Upgrade Seen," <i>Dow Jones News Service</i> , April 30, 1993.
<i>Jm</i>	<u>The Complete Guide to Securities Transactions, Enhancing Investment Performance and Controlling Costs</u> , ed. Wayne H. Wagner, pp. 27-30, 45-61, 63-77, 79-108, 161-169, 211-222, 279-290, and 359-372, 1989.
<i>Jm</i>	"The Computer Museum Brings Auction Block to Cyberspace in First Internet Auction," <i>Business Wire, Inc.</i> , March 14, 1994.
<i>Jm</i>	"Dallas Gold & Silver Exchange, Inc. Announces Substantial Expansion of Internet Activities with Launch of Precious Metals Subscription Service," PR Newswire Association, Inc., March 27, 1996.
<i>Jm</i>	"Debis Financial Services: Debis prepares to put UK auctions on-line," MS Presswire, March 8, 1996.
<i>Jm</i>	"Delphi Moves HQ to New York, adds 750 jobs," Post-Newsweek Business Information, Inc. May 4, 1995.
<i>Jm</i>	"Do Satellites Dream of Electric Sheep?," Precision Marketing, p.12, July 10, 1995.
<i>Jm</i>	Econometrica-Journal of the Econometric Society, Vol 50, No. 5, pp. 1089-1122, September 1982.
<i>Jm</i>	"Electronic Market, The chance to haggle by computer," Ciencia 1990 (2 pages).
<i>Jm</i>	"Executive Update How Auction Technology Sped and Enhanced Sale of Radio Licenses Ted Bunker Countless Budding Capitalists Cut Their Teeth Bargaining for Monopoly Properties or Betting Nickles and Dimes in After-School Stud Poker Games," <i>Investor's Business Daily</i> , p. A3, February 24, 1995.

EXAMINER

JAMES W. MYHRE
PRIMARY EXAMINER

RECEIVED

OCT 09 2003

DATE CONSIDERED

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Indicate non-conformance in form with next communication to applicants.

GROUP 3600

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>JW</i>	"FCC Opens High-Tech Wireless Services Auction 10 Nationwide Licenses Offered; Bids Could Well Hit \$50 Million," The Star-Ledger, July 26, 1994.
<i>JW</i>	"Firm goes Online with Auction," The Toronto Star, p. B2, April 21, 1996.
<i>JW</i>	"First-Ever Internet Auction Products Results for the Computer Museum," <i>Business Wire, Inc.</i> , April 28, 1994.
<i>JW</i>	"First Wine Auctioned Live In Cyberspace; Simultaneous Live And Cyber Wine Auction Benefits Charity," <i>Business Wire</i> , May 22, 1996.
<i>JW</i>	"Fish Auctions Join Forces in Europe," Seaford International, February 1, 1996, p. 8.
<i>JW</i>	"Flowers are Staple of Dutch Auction," The Phoenix Gazette, p. E3, August 17, 1990.
<i>JW</i>	"Flowers shipped 'around the clock' through Holland's Famous High-Tech Auction," <i>Business Wire</i> , August 9, 1990.
<i>JW</i>	Golden Age Antiques and Collectibles Online Auction, available at http://www.goldnaga.com , printed on November 10, 1997.
<i>JW</i>	"The Heyday of the Auction, Finance and Economics," <i>Economist</i> , July 24, 1999, available at www.economist.com , (6 pages).
<i>JW</i>	"High Tech in the Cattle Market," The Omaha World-Herald, February 11, 1985.
<i>JW</i>	"IBM's Institute for Advanced Commerce Cyber Auctions Project Fact Sheet," IBM Institute for Advanced Commerce, Schedule of Events, December 26, 1999.
<i>JW</i>	Illiquid Securities Auction Organizers See Wide Participation in Today's Kickoff, "Institutional Investor, Inc., Bondweek, Vol. X, No. 28, p. 8, July 16, 1990.
<i>JW</i>	"Individual Announces Revolutionary 'Dutch Auction' System for Ads on NewsPage Web Service," <i>Business Wire</i> , July 24, 1995.
<i>JW</i>	"Instinet Corp. Accepts Offer from Reuters After it is Sweetened," Wall Street Journal, November 11, 1986.
<i>JW</i>	"INSTINET: Pushbutton Stock Trading Comes of Age," <i>Information Week</i> , p. 20-24, November 2, 1987.
<i>JW</i>	"The International Broker Rises," <i>Banking World</i> .
<i>JW</i>	"ITG Links up with AZX Bridge," Wall Street Letter, Vol. XXV, No. 39, p. 7, September 27, 1993.
<i>JW</i>	"Japan firm, Aucnet, to Supply GM Computer System to Auction Cars," <i>Japan Economic Journal</i> , p. 15, July 19, 1986.
<i>JW</i>	"KIIS & Unite III takes it to the Next Level with the World Wide Web Auction and a Special Appearance by Madonna," <i>Business Wire</i> , June 27, 1995.

EXAMINER

JAMES W. MYHRE
PRIMARY EXAMINER

RECEIVED

OCT 09 2003

DATE CONSIDERED

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. ~~Multiple copies of this form with next communication to applicants.~~

GROUP 3600

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>Jm</i>	"Let's Do Wunsch; Electronic European Exchange in the Works," Wall Street Letter, p. 1, July 13, 1992.
<i>Jm</i>	"Major Wall Street Firms Form New Electronic Trading Network," PR Newswire, June 15, 1993.
<i>Jm</i>	Market Making and the Changing Structure of the Securities Industry, eds. Yakov Amihud et al., pp. 217-303, 1985.
<i>Jm</i>	"Mobile Phone Licenses on Sale Today High-Stakes Auction will use Computers to Determine Players for next Generation," Rocky Mountain Press, p. 39A, December 5, 1994.
<i>Jm</i>	"New Wave of Communications Attracts High Bidding at Auction," The Fort Worth Star-Telegram, p. 2, July 26, 1994.
<i>Jm</i>	"NYSAC says New Rule Will Make Its Auction more Flexible," Institutional Investor, Inc., - Wall Street Letter, Vol. XXI, No. 32, p. 8, August 13, 1990.
<i>Jm</i>	"Online Commerce gets a Boost from Big Banks," Canadian Business and Current Affairs Marketing Magazine, Vol. 101, No. 19, May 13, 1996, p. 4.
<i>Jm</i>	"ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet Retail Service Debuts with Week-Long Charity Auction for The Computer Museum in Boston," Business Wire, May 22, 1995.
<i>Jm</i>	"Open All Hours: Future Exchanges," The Economist, Vol. 329, No. 7836, p. 107(1), November 6, 1993.
<i>Jm</i>	Oxford Study Examines Economics of Price and Quote Dissemination, Securities Week, Vol. 22, No. 23, p. 8, (June 5, 1995).
<i>Jm</i>	"The Over-The-Counter Market, The Equity Markets Today, pp. 47-97.
<i>Jm</i>	"Playing by National Rules," Global Securities.
<i>Jm</i>	"Preview Media and America Online Announce New Travel Service for America Online Subscribers," PR Newswire, February 7, 1995.
<i>Jm</i>	"Private Flower Auction Opens in Netherlands," Agra Europe, April 13, 1995.
<i>Jm</i>	"SEC Approves AZX's Request to Operate Morning Auction," Securities Week, Vol. 23, No. 23, p. 1, June 10, 1996.
<i>Jm</i>	"Slow but Steady Growth," Banking World.
<i>Jm</i>	"Some Dealers Shunned Electron Bidding At Year-Bill Sale," Dow Jones News Service-Ticker, April 29, 1993.
<i>Jm</i>	"Superior Livestock Auction Unveils the Future of Marketing During Cattlemen's Meeting in Phoenix," Business Wire, January 27, 1993.
<i>Jm</i>	"Technology Takes to Securities Trading," IEEE Spectrum, pp. 60-65, February 1997.

JAMES W. MYHRE
PRIMARY EXAMINER

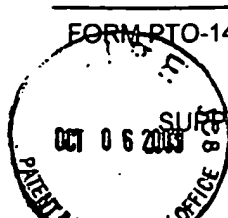
EXAMINER

RECEIVED

DATE CONSIDERED 11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

GROUP 3600

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS 	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
	FILING DATE May 17, 2001	GROUP 3622

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>JW</i>	"Teleres, Koll-Dove Team Up to Provide On-Line Auction Services," <i>Institutional Investor, Inc., Real Estate Finance & Investment</i> , In the News, Vol. 1, No. 44, pg. 2, November 13, 1995.
<i>JW</i>	"Too Many Trading Places," <i>The Economist</i> , pp. 21-23, June 19, 1993.
<i>JW</i>	"Trading Systems, Stock Exchanges and Private firms provide the Information Vital to International Trading," <i>Banking World</i> , pp. 51-52, March 1988.
<i>JW</i>	"Treasury-Auction System -3-: GAO Report Chided Treasury, Fed.," <i>Dow Jones News Service - Ticker</i> , July 8, 1993.
<i>JW</i>	"Unusual Farmland Auction Set," 1995 IEEE Symposium on Security and Privacy, Oakland, California (May 8-10, 1995).
<i>JW</i>	"Utopia Hosts First Live Auction on the Internet: Lavish 7,000 sq. ft. Mansion to be Subject of Historical Event," <i>Business Wire, Inc.</i> , April 23, 1996.
<i>JW</i>	"Video Auction Puts Hawaii Sites on Block," <i>The San Diego Union-Tribune</i> , p. F-54, July 21, 1985.
<i>JW</i>	"Welcome to the Iowa Electronic Markets!," Iowa Electronic Markets, available at www.biz.uiowa.edu/iem , printed on January, 23, 2000, (22 pages).
<i>JW</i>	"West Coast Agents Reamin Skeptical About New Air Ticket Sales Plan; Marketel: Airline Ticket Sales System Sparks Concern," <i>Travel Agent Magazine</i> , p. 50, September 9, 1991.
<i>JW</i>	"Wine Auction On The Web," <i>Post-Newsweek Business Information, Inc.</i> , May 25, 1995.
<i>JW</i>	"Wireless Networks: Auction Fever," <i>The Economist</i> , p. 79, December 3, 1994.
<i>JW</i>	A Historical Synopsis of Tradition Financial Services, available at http://www.tfsenergy.com/company.html .
<i>JW</i>	Article, "Knight-Ridder Newspapers'...", PR Newswire, October 1, 1985.
<i>JW</i>	Article, "Some Rare Bargains...", PR Newswire, March 20, 1984.

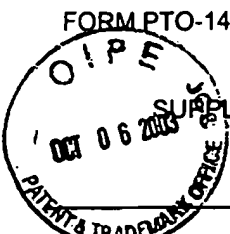
LITIGATION DOCUMENTS

EXAMINER INITIAL	
	GROUP A
<i>JW</i>	LIBERTY BROKERAGE INVESTMENT CORP., et al., v. CANTOR FITZGERALD, L.P., et al., C.A. No. 99-522 RRM COMPLAINT FOR DECLARATORY RELIEF

EXAMINER *JW*
JAMES W. MYHRE
PRIMARY EXAMINER

RECEIVED**OCT 09 2003**DATE CONSIDERED *11/2/05*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. **GROUP 3622** with next communication to applicants.

 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. CF-007 Re	APPLICATION NO. 09/859,661
	APPLICANTS Fraser et al.	CONFIRMATION NO. 3933
	FILING DATE May 17, 2001	GROUP 3622

LITIGATION DOCUMENTS

EXAMINER INITIAL	
<i>fr</i>	LIBERTY BROKERAGE INVESTMENT CORP., et al., v. CANTOR FITZGERALD, L.P., et al., C.A. No. 99-522 RRM AMENDED COMPLAINT FOR DECLARATORY RELIEF.
<i>fr</i>	LIBERTY BROKERAGE INVESTMENT CORP., et al., v. CANTOR FITZGERALD, L.P., et al., C.A. No. 99-522 RRM STIPULATED ORDER OF DISMISSAL.
	GROUP B
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) ANSWER AND COUNTERCLAIM.
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) NOTICE OF SUBPOENA DUCES TECUM.
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) SUPPLEMENTAL ANSWERS AND OBJECTIONS TO PLAINTIFFS' FIRST SET OF INTERROGATORIES.
	GROUP C
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612(KAJ), ANSWERS AND OBJECTIONS TO PLAINTIFFS' SECOND SET OF INTERROGATORIES.
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612, COMPLAINT FOR PATENT INFRINGEMENT.
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612, PLAINTIFFS' MOTION FOR PRELIMINARY INJUNCTION.
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612, PLAINTIFFS' OPENING BRIEF IN SUPPORT OF THEIR MOTION FOR PRELIMINARY INJUNCTION.
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 DECLARATION OF HOWARD W. LUTNICK IN SUPPORT OF MOTION FOR PRELIMINARY INJUNCTION.
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 DECLARATION OF JOSEPH C. NOVIELLO IN SUPPORT OF MOTION FOR PRELIMINARY INJUNCTION.
<i>fr</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 PLAINTIFFS' MOTION FOR EXPEDITED DISCOVERY.

EXAMINER


 JAMES W. MYHRE
 PRIMARY EXAMINER

RECEIVED

OCT 09 2003

DATE CONSIDERED

11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

GROUP 3600

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-007 ReAPPLICATION
NO. 09/859,661SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Fraser et al.CONFIRMATION
NO. 3933FILING DATE
May 17, 2001GROUP
3622

LITIGATION DOCUMENTS

EXAMINER INITIAL	
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 PLAINTIFFS' FIRST SET OF EXPEDITED INTERROGATORIES ADDRESSED TO ALL DEFENDANTS.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 PLAINTIFFS' FIRST EXPEDITED REQUESTS FOR PRODUCTION OF DOCUMENTS AND THINGS ADDRESSED TO ALL DEFENDANTS.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) DEFENDANT BROKERTEC USA, L.L.C.'S FIRST REQUEST FOR PRODUCTION OF DOCUMENTS AND THINGS.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) DEFENDANT BROKERTEC USA, L.L.C.'S FIRST SET OF INTERROGATORIES TO PLAINTIFFS.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) DEFENDANT BROKERTEC USA, L.L.C.'S FIRST SET OF REQUESTS FOR ADMISSIONS.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) RESPONSES AND OBJECTIONS TO PLAINTIFFS' FIRST REQUESTS FOR PRODUCTION OF DOCUMENTS.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) ANSWERS AND OBJECTIONS TO PLAINTIFFS' FIRST SET OF INTERROGATORIES.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) PLAINTIFFS' FIRST SET OF REQUESTS FOR ADMISSIONS DIRECTED TO DEFENDANTS BROKERTEC USA, L.L.C.; GARBAN, LLC; ICAP PLC; OM AB; AND OM TECHNOLOGY (U.S.), INC.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) PLAINTIFFS' OBJECTIONS AND RESPONSES TO DEFENDANT BROKERTEC USA, L.L.C.'S FIRST SET OF INTERROGATORIES.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) PLAINTIFFS' RESPONSES AND OBJECTIONS TO DEFENDANT BROKERTEC USA, L.L.C.'S FIRST SET OF REQUESTS FOR ADMISSIONS.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) SUPPLEMENTAL ANSWERS AND OBJECTIONS TO PLAINTIFFS' SECOND SET OF INTERROGATORIES.
<i>JP</i>	eSPEED, Inc. et al. v. BROKERTEC USA, L.L.C. et al., C.A. No. 03-612 (KAJ) SUPPLEMENTAL RESPONSES TO PLAINTIFFS' FIRST SET OF REQUEST FOR ADMISSIONS.

EXAMINER

JAMES W. MYHRE
PRIMARY EXAMINER

RECEIVED

OCT 09 2003

GROUP 3600

DATE CONSIDERED 11/2/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.